

# Irreducible holomorphic symplectic manifolds

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# K3 surface

## Definition

A *K3 surface*  $X$  is a compact complex surface with trivial canonical bundle and  $H^1(X, \mathcal{O}_X) = 0$ .

Properties: 1. K3 surface is actually Kähler (Y. T. Siu 1983);  
2.  $X$  is simply-connected;

3. Since canonical bundle is trivial then  $X$  has non-degenerate holomorphic symplectic structure;
4. Any two complex analytic K3 surfaces are diffeomorphic as smooth 4-manifolds (K. Kodaira 1963).

## A. Beauville (1983) - F. Bogomolov (1974)

### Theorem 2.1

*Let  $X$  be a compact Kähler manifold with  $c_1 = 0$  (equivalently  $X$  is a Ricci-flat) Then, there exists a finite covering  $\pi : \widehat{X} \rightarrow X$  that splits as a product of complex tori, irreducible Calabi-Yau manifolds and irreducible symplectic manifolds.*

## Remark 2.2

1. (G. De Rham 1952) *If a Riemannian manifold  $(X, g)$  is complete, simply connected and if its holonomy representation is reducible, then  $(X, g)$  is a Riemannian product.*

3. (J. Cheeger, D. Gromoll 1971)  *$X$ - compact connected with non-negative Ricci curvature. Then the universal covering space  $\tilde{X}$  splits isometrically as  $\tilde{X} = E \times N$ , where  $E$  is a Euclidean space and  $N$  is closed and simply connected with  $\text{Ricci} \geq 0$ .*

# The Calabi conjecture 1957

## Theorem 2.3

*(S. T. Yau - 1977) Let  $M$  be a compact Kähler manifold with vanishing first Chern class  $c_1$ . Then there exists a Ricci-flat Kähler metric on  $M$ . Every such metric is uniquely determined by the cohomology class of its Kähler form.*

# On the definition of irreducible (holomorphic) symplectic manifolds

## Definition

A complex manifold  $X$  is called irreducible (holomorphic) symplectic manifold (IHS) if and only if is a smooth compact Kählerian simply connected manifold with  $H^0(X, \Omega_X^2) \simeq \mathbb{C}\sigma$ , for an everywhere nondegenerate 2-form  $\sigma$ .

## Remark 2.4

1. *From the definition  $X$  has even dimension  $2n$  and its canonical bundle  $\omega_X$  is trivial. Moreover  $h^{2,0}(X) = h^{0,2}(X) = 1$  and  $h^{1,0}(X) = h^{0,1}(X) = 0$ .*

2. *IHS = Irreducible compact hyper-Kähler manifolds*

# Main Result

## Example

In complex dimension 2, the only examples are  $K^3$  surfaces.

$(X, g)$  is irreducible if its holonomy representation is irreducible  
( $X \neq X_1 \times X_2$ .)

## Theorem 2.5

*(M. Schwald, 2022) Let  $X$  be a compact Kähler manifold such that  $H^0(X, \Omega_X^2) \cong \mathbb{C}$  is generated by a holomorphic symplectic form. Then  $h^1(X, \mathcal{O}_X) = 0$  if and only if  $X$  is simply connected and therefore an irreducible symplectic manifold.*



# An application of the decomposition theorem

## Proposition 2.6

*(M. Schwald 2018) Let  $(X, \omega)$  be a smooth symplectic manifold with  $h^1(X, \mathcal{O}_X) = 0$  and  $h^0(X, \Omega_X^{[2]}) = 1$ . Then  $X$  is either simply connected or a smooth quotient of a complex torus  $T$  by a finite group  $G$  of biholomorphic automorphisms.*

## Remark 2.7

*The above Proposition is connected with the Proposition A.1 of the article of D. Huybrechts and M. Nieper-Wisskirchen from 2011.*

# Complex torus quotient

By (complex) torus quotients we shall understand quotients  $X := T/G$  where  $T$  is a complex torus and  $G$  a finite group acting by biholomorphisms on  $T$ .

## Definition

Let  $\rho$  be a complex representation of the finite group  $G$  and  $\chi_\rho$  its character. When  $\rho$  is irreducible, it can be of real, complex, or quaternionic type, depending on its Frobenius-Schur indicator  $l(\rho) := \frac{1}{|G|} \sum_{g \in G} \chi_\rho(g^2)$  being 1, 0 or -1 respectively.

## Lemma 3.1

*Let  $X = T/G$  be an  $n$ -dimensional torus quotient with induced analytic representation  $L$ . Then  $H^0(X, \Omega_X^{[1]})$  is isomorphic to the space of  $v \in \mathbb{C}^n$  fixed under  $L(g)$  for all  $g \in G$ . Thus*

$$h^0(X, \Omega_X^{[1]}) = (\chi_L | \chi_{\mathbb{I}_G}) = \frac{1}{|G|} \sum_{g \in G} \text{tr} L(g)$$

*counts the multiplicity of the trivial representation  $\mathbb{I}_G$  as a component in  $L$ .*

## Lemma 4.1

*(Main Lemma) Let  $X = T/G$  be an  $n$ -dimensional torus quotient with induced analytic representation  $L$ . Then  $H^0(X, \Omega_X^{[2]})$  is spanned by a symplectic form on  $X$  if and only if  $L$  is either an irreducible representation of quaternionic type or the direct sum of two complex conjugate irreducible representation of real or complex type. In every case it follows that  $h^1(X, \mathcal{O}_X) = 0$  except when  $X$  is a 2-torus.*

## Proof:

An irreducible representation  $L$  preserves a non-trivial alternating bilinear form if and only if  $L$  is of quaternionic type; in this case this form is non-degenerate and unique up to scalar.

We suppose now that  $L$  is reducible representation  $L = L_1 \oplus L_2$ , where the  $L_i : G \rightarrow GL_{\mathbb{C}}(V_i)$  are sub-representations of positive degrees and  $\mathbb{C}^n = V_1 \oplus V_2$  is a decomposition invariant under  $L(g)$  for all  $g \in G$ .

Then the decomposition  $\Lambda^2 \mathbb{C}^n \cong (\Lambda^2 V_1) \oplus (V_1 \otimes V_2) \oplus (\Lambda^2 V_2)$  is invariant under  $\Lambda^2 L(g)$  for all  $g \in G$ . When there is a  $G$ -invariant 2-form  $\omega$  on  $X$ , its three components are also  $G$ -invariant. Hence  $H^0(X, \Omega_X^2) = \mathbb{C}\omega$  implies that only one component is non-zero. As  $\omega$  is symplectic it is non-degenerate, such that  $\omega$  has to lie in the mixed part  $V_1 \otimes V_2$ . By the same argument applied to sub-representations,  $L_1, L_2$  are seen to be irreducible. Clearly neither  $L_1$  nor  $L_2$  can be of quaternionic type, as then we had  $h^0(X, \Omega_X^2) \geq 2$ .

We have

$$\begin{aligned}1 &= h^0(X, \Omega_X^{[2]}) = (\chi_{\wedge^2 L} | \chi_{\mathbb{I}_G}) \\ &= \frac{1}{2|G|} \sum_{g \in G} (\chi_{L_1}(g) + \chi_{L_2}(g))^2 - (\chi_{L_1}(g^2) + \chi_{L_2}(g^2)) \\ &= \frac{1}{2} (\chi_{L_1} | \chi_{\bar{L}_1}) + (\chi_{L_1} | \chi_{\bar{L}_2}) + \frac{1}{2} (\chi_{L_2} | \chi_{\bar{L}_2}) - \frac{1}{2} \iota(L_1) - \frac{1}{2} \iota(L_2) \\ &= \begin{cases} (\chi_{L_1} | \chi_{\bar{L}_2}) & \text{if } L_1 \text{ and } L_2 \text{ are both real or both complex} \\ 0 & \text{else} \end{cases}\end{aligned}$$

and conclude  $L_1 = \bar{L}_2$ .

By Lemma 3.1 we get  $h^0(X, \Omega_X^{[1]}) = (\chi_L | \chi_{\mathbb{I}_G})$ . This is zero unless  $L$  is reducible and  $L_1, L_2$  are both trivial, which is precisely the case when  $T$  and  $X$  are both 2-tori.



## Definition

A complex torus quotient  $X = T/G$  is smooth if and only if every  $g \in G$  acts fixed point free on  $T$ .

We call  $X$  a *smooth (complex) torus quotient*.

The real holonomy representation equals the restriction of scalars of the analytic representation  $L$  of  $G$  on  $\mathbb{C}^n$ .

Every complex representation  $\rho : G \rightarrow GL(n, \mathbb{C})$  defines by restriction to  $\mathbb{R}$  a real representation  $\rho_{\mathbb{R}} : G \rightarrow GL(2n, \mathbb{R})$

$$\rho_{\mathbb{R}} = \begin{bmatrix} \mathcal{R}\rho & -\text{Im}\rho \\ \text{Im}\rho & \mathcal{R}\rho \end{bmatrix}.$$

We get  $\mathbb{C} \otimes \rho_{\mathbb{R}} \simeq \rho \oplus \bar{\rho}$ , hence two irreducible complex representations are  $\mathbb{R}$ -equivalent if and only if they are  $\mathbb{C}$ -equivalent up to a possible complex conjugation.

## Definition

When  $\mathbb{K}$  is a field, we call a representation  $\rho$  of  $G$  over  $\mathbb{K}$  homogeneous if  $\rho$  is the direct sum of equivalent irreducible subrepresentation. When  $\rho$  is a complex representation, then its restriction of scalars  $\rho_{\mathbb{R}}$  is over  $\mathbb{R}$  homogeneous if and only if all irreducible complex subrepresentations of  $L$  are equivalent up to a possible complex conjugation.

## Lemma 5.1

*Let  $\rho$  be a rational representation of a finite group and  $\mathbb{K} \supset \mathbb{Q}$  a field. When  $\mathbb{K} \otimes \rho$  is homogeneous then  $\rho$  is homogeneous as well.*

## Theorem 5.2

*Let  $X = T/G$  be a smooth torus quotient with induced analytic representation  $L$ . If the restriction of scalars  $L_{\mathbb{R}}$  is homogeneous then  $L$  is trivial and  $X$  is a complex torus.*

### Proof.

Follows from a paper of Hiss-Szczepański (1991) and Lutowski (2021). □

## Corollary 5.3

*Every smooth symplectic torus quotient with  $h^0(X, \Omega_X^2) = 1$  is a complex 2-torus.*

## Proof.

Let  $X = T/G$  be a symplectic torus quotient and  $L : G \rightarrow GL(n, \mathbb{C})$  its analytic representation. From Lemma 4.1  $L$  is either irreducible or the direct sum of two complex conjugate irreducible representations  $L_i : G \rightarrow GL_{\mathbb{C}}(V_i), i = 1, 2$ . In the second case, their restrictions of scalars  $(L_i)_{\mathbb{R}}$  are equivalent as real representations, so  $L_{\mathbb{R}} \cong (L_1)_{\mathbb{R}}^{\oplus 2}$ . In particular  $L_{\mathbb{R}}$  is homogeneous in both cases. By Theorem 5.2 it follows that  $X$  can only be smooth if it is a complex torus. For  $n := \dim X$  this implies  $1 = h^0(X, \Omega_X^{[2]}) = \frac{n(n-1)}{2}$  and thus  $n = 2$ . □

We are ready to prove the Theorem 2.5.

Let  $X$  be a compact Kähler manifold such that  $H^0(X, \Omega_X^2) \cong \mathbb{C}$  is generated by a holomorphic symplectic form. Then  $h^1(X, \mathcal{O}_X) = 0$  if and only if  $X$  is simply connected and therefore an irreducible symplectic manifold.

**Proof.**

If  $h^1(X, \mathcal{O}_X) = 0$  then  $X$  is by Proposition 2.6 simply connected or a smooth torus quotient. In the latter case it would be a 2-torus by Corollary 5.3, for which  $h^1(X, \mathcal{O}_X) = 2 \neq 0$ . For the other direction, if  $X$  is simply connected, then  $H^1(X, \mathbb{C}) = \pi_1(X)^{ab}$  is trivial and hence  $h^1(X, \mathcal{O}_X) = 0$  by Hodge theory. □

Let  $X = T/G$  be a smooth torus quotient and  $L$  its induced analytic representation. For each  $g \in G$  to act fixed point free on  $T$  it is necessary that  $L(g)$  has 1 as eigenvalue. Furthermore, finite groups  $G$  for which a smooth torus quotient  $X = T/G$  with  $h^1(X, \mathcal{O}_X) = 0$  exists are *primitive*, (H. Hiller-C.H. Sah-1986) It follows from Lemma 4.1 that  $G$  needed to be primitive if there was a non-trivial smooth torus quotient  $X = T/G$  that is symplectic with  $h^0(X, \Omega_X^2) = 1$ .

## Theorem 6.1

*There are examples of irreducible representations  $L$  of each real, complex, and quaternionic type of primitive finite groups  $G$  such that all representing matrices have 1 as an eigenvalue. They give rise to examples of singular symplectic torus quotients  $X = T/G$  with  $h^1(X, \mathcal{O}_X) = 0$  and  $h^0(X, \Omega_X^{[2]}) = 1$ , but by Corollary 5.3 no set of translations  $t(g)$  for  $g \in G$  can be chosen to make the induced action of  $G$  on  $T$  free (again H. Hiller-C.H. Sah - 1986).*



However, smooth symplectic quotient with  $h^1(X, \mathcal{O}_X) = 0$  exist indeed. The smallest example comes from the direct sum of three non-equivalent irreducible representations of quaternionic type, hence  $h^0(X, \Omega_X^{[2]}) = 3$  in that case.

Thank You.