# Games for chromatic numbers of analytic graphs

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joint work with Jindřich Zapletal

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$$G = (X, \Gamma)$$
 ... graph  
 $X$  ... Polish space  
 $\Gamma$  ... analytic edge relation,  $\Gamma \subseteq [X]^2$ 

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Chromatic number

 $\chi(G) = \min\{\kappa \mid X = \bigcup\{X_i \mid i \in \kappa, X_i \text{ are anticliques }\}\}$ 

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#### List chromatic number (choosing number)

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## Example

 $G = (\mathbb{R}^2, d(x, y) \in \mathbb{Q})$   $\chi(G) = \omega$  (Komjáth)

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$G=(\mathbb{R}^3,d(x,y)\in\mathbb{Q})$	$\chi(G) = \omega$	(Schmerl)

# Example $\Delta = (2^{<\omega} \cup 2^{\omega}, \Gamma), \quad s\Gamma x \quad \text{if} \quad s \in 2^{<\omega}, x \in 2^{\omega}, \text{ and } s \sqsubset x$

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Example  $\Delta = (2^{<\omega} \cup 2^{\omega}, \Gamma), \quad s\Gamma x \quad \text{if} \quad s \in 2^{<\omega}, x \in 2^{\omega}, \text{ and } s \sqsubset x$   $\chi(\Delta) = 2, \operatorname{ch}(\Delta) > \omega$ Proof.  $E: 2^{\omega} \leftrightarrow \{ g: 2^{<\omega} \to \omega, \forall t \in 2^{<\omega} g(t) > |t| \}$ 

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#### Proof.

$$E: 2^{\omega} \leftrightarrow \{ g: 2^{<\omega} \to \omega, \forall t \in 2^{<\omega} g(t) > |t| \}$$
  
for  $t \in 2^{<\omega}$  let  $L(t) = \{ n \in \omega \mid n > |t| \}$   
for  $x \in 2^{\omega}$  let  $L(x) = \{ E(x)(t) \mid t \sqsubset x, t \in 2^{<\omega} \}$ 

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Let  $\Delta_0 = \Delta$ , where  $2^{\omega}$  is isomorphic to the Cantor space and  $2^{<\omega}$  is a closed set of isolated points.

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## Theorem 0 (Adams, Zapletal)

Let  $G = (X, \Gamma)$  be a graph, X a Polish space,  $\Gamma$  an analytic edge relation. Then one of the following holds

- 1.  $\Delta_0 \hookrightarrow G$  continuously, or
- 2.  $\mu(G) \leq \omega$ .

Let  $\Delta_0 = \Delta$ , where  $2^{\omega}$  is isomorphic to the Cantor space and  $2^{<\omega}$  is a closed set of isolated points.

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# Game $\mathcal{G}_0(X, \Gamma)$

Player 1	 $x_n \in X$ , $B_n$ basic open of diameter $< 2^{-n}$	
Player 2	 $y_n \in X$	

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Player 1 wins if

• 
$$x_n \neq x_m$$
 if  $n \neq m$ ,

• 
$$y_n \notin \overline{B_{n+1}} \subset B_n$$
 for every  $n \in \omega$ ,

• 
$$(\forall n \in \omega) \ z \Gamma x_n$$
, where  $z = \bigcap \{ B_n \mid n \in \omega \}$ .

## Theorem 0 (Adams, Zapletal)

Let  $G = (X, \Gamma)$  be a graph, X a Polish space,  $\Gamma$  an analytic edge relation. Then one of the following holds

- 1.  $\Delta_0 \hookrightarrow G$  continuously, or
- 2.  $\mu(G) \leq \omega$ .

## Theorem 0<sup>+</sup>

Let  $G = (X, \Gamma)$  be a graph, X a Polish space,  $\Gamma$  an analytic edge relation.

- 1. The game  $\mathcal{G}_0(X, \Gamma)$  is determined.
- 2. Player 1 has a winning strategy iff  $\Delta_0 \hookrightarrow G$  continuously.

3. Player 2 has a winning strategy iff  $\mu(G) \leq \omega$ .

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## Proof of 1.

Fix a continuous function  $k \colon \omega^{\omega} \to X^{\omega+1}$  such that

$$k[\omega^{\omega}] = \{ \langle \langle x_n \mid n \in \omega \rangle, z \rangle \text{ such that } (\forall n) x_n \Gamma z \}.$$

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Unraveled game  $\mathcal{G}_0^{U}(X, \Gamma)$ 

Player 1	 $x_n \in X$ , $B_n$ basic open of diameter $< 2^{-n}$ , $t_n \in \omega^n$	
Player 2	 $y_n \in X$	

Player 1 wins if he wins  $\mathcal{G}_0(X, \Gamma)$ , and additionally  $k(\bigcup \{ t_n \mid n \in \omega \}) = \langle \langle x_n \mid n \in \omega \rangle, z \rangle.$ 

The unraveled game  $\mathcal{G}_0^U(X, \Gamma)$  is closed for Player 1 and thus determined.

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The unraveled game  $\mathcal{G}_0^U(X, \Gamma)$  is closed for Player 1 and thus determined.

If Player 1 has a winning strategy for  $\mathcal{G}_0^U(X, \Gamma)$ , then he has a winning strategy for  $\mathcal{G}_0(X, \Gamma)$ .

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Proof of 2. (Hand-waving)

The unraveled game  $\mathcal{G}_0^U(X, \Gamma)$  is closed for Player 1 and thus determined.

If Player 1 has a winning strategy for  $\mathcal{G}_0^U(X, \Gamma)$ , then he has a winning strategy for  $\mathcal{G}_0(X, \Gamma)$ .

If Player 2 has a winning strategy for  $\mathcal{G}_0^U(X, \Gamma)$ , then he has a winning strategy for  $\mathcal{G}_0(X, \Gamma)$ .

Proof of 2. (Hand-waving)

Proof of 3 ( $\Leftarrow$ ).

If  $\mu(G) \leq \omega$ , then  $\Delta_0$  can not embed, and Player 1 does not have a winning strategy.

Let  $\sigma$  be a wining strategy for Player 2.

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 $|\{x \in b \mid x \Gamma z\}| < \omega.$ 

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# Proof. By induction on |b|.

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By induction on |b|. For  $|b| = \omega$  OK.

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The induction hypothesis gives us well orders  $(b_{\alpha}, \prec_{\alpha})$ .

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For  $x, y \in b$  let  $x \prec y$  if either

• 
$$x \in b_{\alpha}, y \in b_{\alpha+1} \setminus b_{\alpha}$$
 for some  $\alpha$ , or

► 
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{ $x \prec y + x \Gamma y$ } = { $x \in b_{\alpha} + x \Gamma y$ }  $\cup$  { $x \prec_{\alpha+1} y + x \Gamma y$ } for  $y \in b_{\alpha+1}$ 

## Theorem 0<sup>+</sup>

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#### Corollary (Adams, Zapletal)

Let  $G = (X, \Gamma)$  be a graph. If  $\Gamma$  is analytic, then  $ch(G) \le \omega$  if and only if  $\mu(G) \le \omega$ .

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Corollary (Komjáth) If  $G = (\mathbb{R}^2, d(x, y) \in \mathbb{Q})$ , then  $\mu(G) = \omega$ .

# Neighborhood assignments for $G = (X, \Gamma)$

A function  $O: X \to \tau(x)$  is a *neighborhood assignment* if  $x \in O(x)$  for each  $x \in X$ . A neighborhood assignment is *proper* if  $x \Gamma y$  implies  $x \notin O(y)$  or  $y \notin O(x)$  for every  $x, y \in X$ .

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if  $x \in O(x)$  for each  $x \in X$ .

A neighborhood assignment is proper

if  $x \Gamma y$  implies  $x \notin O(y)$  or  $y \notin O(x)$  for every  $x, y \in X$ .

We say that G is *left-separated* if there is a well order  $\prec$  on X such

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that  $x \notin \overline{\{y \in X | y \prec x, y \lceil x\}}$  for each  $x \in X$ .

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#### Proposition

Let G be a graph on a Polish space. The graph G is left separated if and only if G has a proper neighborhood assignment.

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#### $\mathsf{Proof} \Leftarrow$ .

Let *O* be a proper neighborhood assignment. For each  $x \in X$  choose  $n(x) \in \omega$  such that  $B_{2^{-n(x)}}(x) \subseteq O(x)$ . Any well order  $\prec$  satisfying  $(n(x) < n(y)) \Rightarrow (x \prec y)$  works.

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### Corollary

 $\chi(G) \leq \omega \quad \Leftarrow \quad G \text{ is left-separated} \quad \Leftarrow \quad \mu(G) \leq \omega \Leftrightarrow \operatorname{ch}(G) \leq \omega$ 

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Let  $\Delta_1 = \Delta$ , where  $2^{\omega}$  is isomorphic to the Cantor space,  $2^{<\omega}$  are isolated points, and for every  $x \in 2^{\omega}$  the sequence  $\{x \upharpoonright n \mid n \in \omega\}$  converges to x.

#### Observation

The graph  $\Delta_1$  is left-separated. (Put the isolated points at the end.)

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Let  $G = (X, \Gamma)$  be a graph, X a Polish space,  $\Gamma$  an analytic edge relation. Then (at least) one of the following holds

- 1.  $\Delta_1 \hookrightarrow G$  continuously, or
- 2. *G* is left-separated.

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2. 
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.

Game  $\mathcal{G}_1(X, \Gamma)$ 

Player 1	 $x_n \in X$	
Player 2	 $y_n \in X$	

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Player 1 wins if

lim 
$$x_n = z \in X$$
,

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$$(\forall n \in \omega) \ z \Gamma x_n$$
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#### Theorem 1<sup>+</sup>

Let  $G = (X, \Gamma)$  be a graph, X a Polish space,  $\Gamma$  an analytic edge relation.

- 1. The game  $\mathcal{G}_1(X, \Gamma)$  is determined.
- 2. Player 1 has a winning strategy iff  $\Delta_1 \hookrightarrow G$  continuously.
- 3. If Player 2 has a winning strategy, then *G* is left-separated.

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- 3. If Player 2 has a winning strategy, then G is left-separated.

#### Corollary (Schmerl)

If  $G = (\mathbb{R}^3, d(x, y) \in \mathbb{Q})$ , then G is left-separated. I.e.  $\chi(G) = \omega$ .